

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Daniele FACCIO et al.	)	
	)	Group Art Unit:
Application No.: 10/541,071	)	
	)	Examiner:
Filed: June 29, 2005	)	
	)	
For: INTEGRATED OPTICAL	)	
ADD/DROP DEVICE HAVING	)	
SWITCHING FUNCTION	)	

**U.S. National Phase of International Application No. PCT/EP2002/014812**

**MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08. Copies of the listed foreign documents and listed non patent literature documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.


This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: APR 05 2006

By:   
Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/gah

IDS Form PTO/SB/08: Substitute for form 1449A/PTO  <div style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></div> <div style="text-align: center; font-size: small;">(Use as many sheets as necessary)</div>				<div style="text-align: center;"><b>Complete if Known</b></div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Application Number</td> <td>10/541,071</td> </tr> <tr> <td>Filing Date</td> <td>June 29, 2005</td> </tr> <tr> <td>First Named Inventor</td> <td>Daniele FACCIO</td> </tr> <tr> <td>Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>05788.0373</td> </tr> </table>		Application Number	10/541,071	Filing Date	June 29, 2005	First Named Inventor	Daniele FACCIO	Art Unit		Examiner Name		Attorney Docket Number	05788.0373
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Sheet	1	of	2														

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
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**Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004**

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		ABSIL et al.; "COMPACT MICRORING NOTCH FILTERS"; IEEE, Photonics, Technology Letters, Vol. 12, No. 4, pages 1041-1043, (2000)	
		OFFREIN et al.; "TUNABLE OPTICAL ADD/DROP COMPONENTS IN SILICON-OXYNITRIDE WAVEGUIDE STRUCTURES"; ECOC '98, pages 325-326, (1998)-	
		SHIBATA et al.; "SEMICONDUCTOR MONOLITHIC WAVELENGTH SELECTIVE ROUTER USING A GRATING SWITCH INTEGRATED WITH A DIRECTIONAL COUPLER"; Journal of Lightwave Technology, Vol. 14, No. 6, pages 1027-1032, (1996)	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.